



# **Ethical Principles for Generative Artificial Intelligence from Military to Healthcare**

**Army LTC Gary Legault, FAAO, PMP**

**Director, Virtual Medical Center**

**Defense Health Agency, San Antonio, TX**

**25 September 2025**



# Presenter



## **Army LTC Gary Legault, FAAO, PMP**

Director, Virtual Medical Center

DHA Healthcare Optimization Division

Brooke Army Medical Center

San Antonio, TX



# Army LTC Gary Legault, MD, FAAO, PMP



Army Lt. Col. Gary Legault is a cornea/refractive surgeon stationed at Joint Base San Antonio (JBSA). He has performed thousands of refractive surgeries and trained residents and cornea fellows in refractive surgery.

He graduated from the Uniformed Services University in 2009 and then completed an Ophthalmology residency at San Antonio Uniformed Services Health Education Consortium (SAUSHEC). After residency, he completed a cornea/refractive surgery fellowship at Duke University. Upon completion of fellowship, Army Lt. Col. Legault became the chief of refractive surgery at William Beaumont Army Medical Center.

In 2017, he became the Ophthalmology Residency Program Director and Army Refractive Surgery Program Manager. In 2020, he established the DHA Refractive Surgery Board and became the first chair. He currently assigned as the Director of the Virtual Medical Center, Deputy Ophthalmology Consultant for the Office of Surgeon General of the United States Army, and an Associate Professor of Surgery at Uniformed Services University.



# Disclosures



- LTC Gary Legault has no relevant financial or non-financial relationships to disclose relating to the content of this activity. All relevant financial relationships have been mitigated.
- The views expressed in this presentation are those of the author and do not necessarily reflect the official policy or position of the Department of Defense, nor the U.S. Government.
- This continuing education activity is managed and accredited by the Defense Health Agency, J-7, Continuing Education Program Office (DHA, J-7, CEPO). DHA, J-7, CEPO and all accrediting organizations do not support or endorse any product or service mentioned in this activity.
- DHA, J-7, CEPO staff, as well as activity planners and reviewers have no relevant financial or non-financial relationships to disclose.
- Commercial support was not received for this activity.



# Learning Objectives

---



At the conclusion of this activity, participants will be able to:

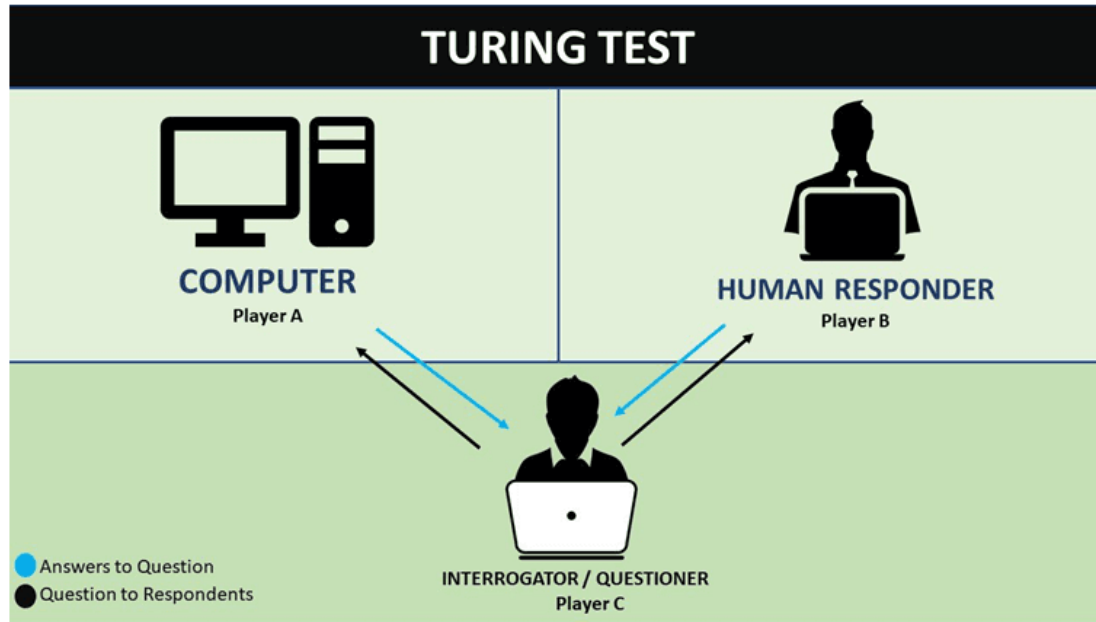
1. Compare and contrast the ethical frameworks governing the development and deployment of generative artificial intelligence (GenAI) in military and healthcare contexts.
2. Evaluate the applicability of ethical principles defined by the GREAT PLEA framework.
3. Demonstrate how ethical principles build trust in AI systems among leaders and providers.



# Artificial Intelligence



- Alan Turing coined the term in 1956



(BotPenguin, 2025)



# Polling Question #1

---

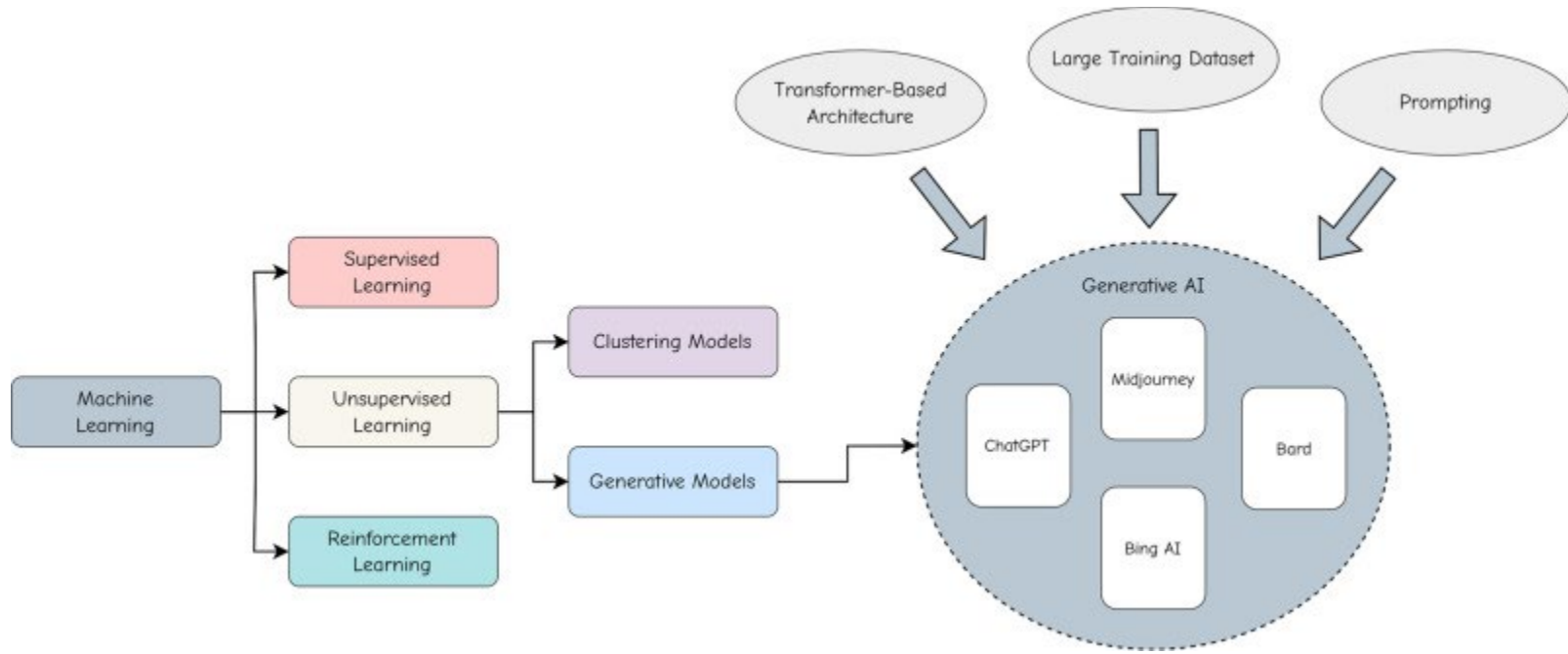


Do you use Artificial Intelligence (AI) daily to assist with tasks?

- A. Yes
- B. No
- C. Unsure if I am using AI



# General Machine Learning and Generative AI



(Oniani et al., 2023)





## Polling Question #2

---

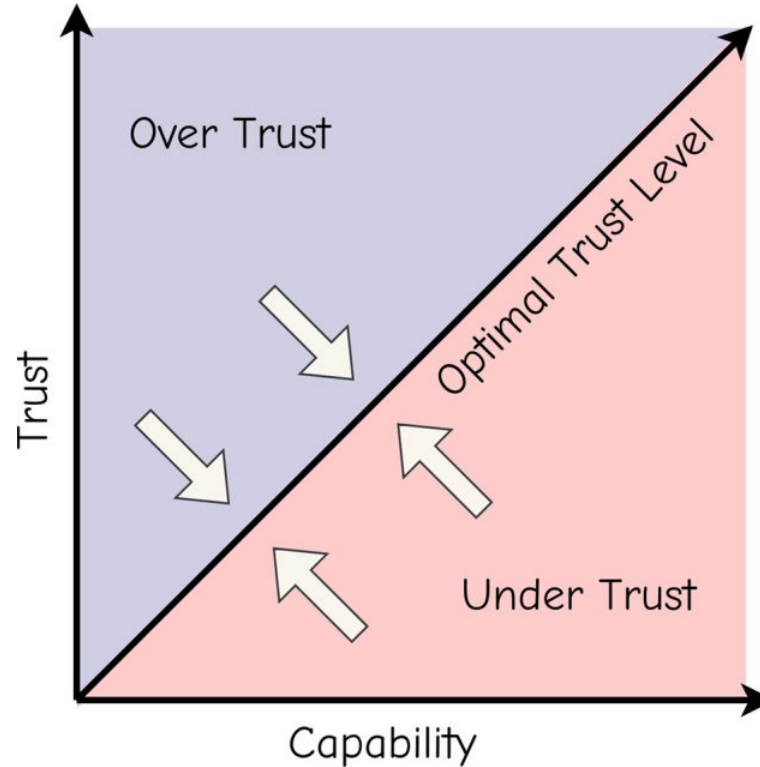


Would you trust AI to diagnose your medical condition based on your symptoms and exam findings?

- A. Yes
- B. No
- C. Maybe



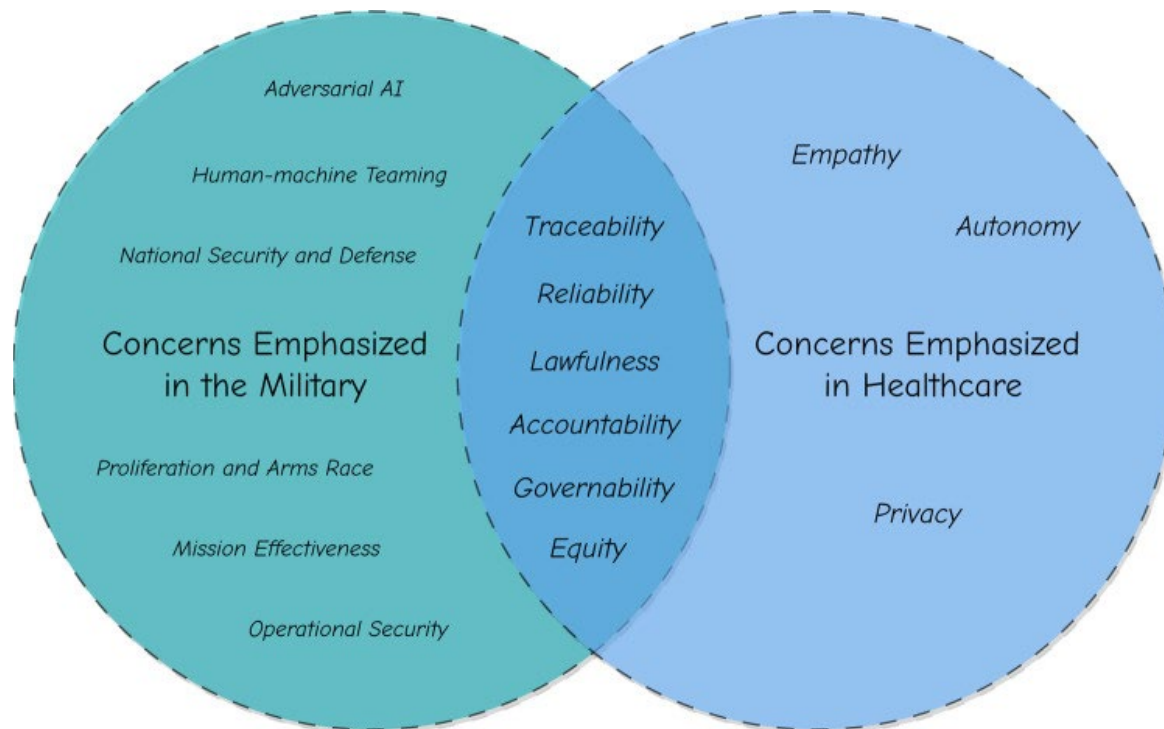
# Optimization of Trust in AI



(Oniani et al., 2023)



# Ethical Principles from Military to Healthcare





# Ethical Principles – GREAT PLEA

---



Governability

Reliability

Equity

Accountability

Traceability

Privacy

Lawfulness

Empathy

Autonomy



# Ethical Principle – Governability

Governability is the ability of a system to integrate processes and tools which promote and maintain its capability and ensure meaningful human control.

Governability

- Policy
- Process
- Oversight
- Accountability

(Oniani et al., 2023)



# Ethical Principle – Reliability

Reliability is the ability of a system or component to function under stated conditions for a specified period of time.

Reliability

- Consistent Accuracy
- Predictable Performance
- Error Handling

(Oniani et al., 2023)



# Ethical Principle – Equity

Equity is the state in which everyone has a fair and just opportunity to attain their highest level of health.

Equity

- Bias
- Fairness

(Oniani et al., 2023)



# Ethical Principle – Accountability

Accountability is the property of being able to trace activities on a system to individuals who may then be held responsible for their actions.

Accountability

- Clear lines of responsibility
- The AI developer
- The Vendor
- The Provider

(Oniani et al., 2023)





# Ethical Principle – Traceability

Traceability is tracking and documenting data, processes, and artifacts related to a system or model for transparent development.

Traceability

- Decision-making process
- Understand the why

(Oniani et al., 2023)



## Polling Question #3

---



Is it safe to share personal information or PHI with an AI chat bot?

- A. Yes
- B. No



# Ethical Principle – Privacy

Privacy is an assurance that the confidentiality of, and access to, certain information about an entity is protected.

Privacy

- De-identification
- HIPAA
- Copyrights

(Oniani et al., 2023)



# Ethical Principle – Lawfulness

Lawfulness is the adherence to national and international law, including international humanitarian law and human rights law, as applicable.

Lawfulness

- Compliance
- Don't want to go to jail

(Oniani et al., 2023)



# Ethical Principle – Empathy

Empathy is the ability to understand the personal experiences and emotions of another, without extensive bonding.

Empathy

- Adaptive responses
- Avoidance of judgement
- Careful language

(Oniani et al., 2023)



## Polling Question #4

---



What is the name of the original AI military defense network that becomes self-aware and initiates a nuclear war in the movie Terminator?

- A. Chat GPT
- B. Skynet
- C. Legion
- D. Grok



# Ethical Principle – Autonomy

Autonomy is the matter of control over one's self and requires both freedom from controlling influences and the capacity for action for it to be maintained.

Autonomy

- Fail-safe mechanisms
- Continuous monitoring
- Levels of autonomy

(Oniani et al., 2023)



# Guardrails



- 
- Privacy and security – HIPAA
  - Regulatory compliance
  - Trust
  - Human oversight





# Key Takeaways



- **Shared Ethical Concerns:** Both the military and healthcare sectors face remarkably similar ethical challenges when deploying GenAI, particularly regarding bias, transparency, accountability, and patient/soldier safety.
- **The GREAT PLEA Framework as a Foundation:** The GREAT PLEA framework (Governance, Responsibility, Explainability, Accountability, Transparency, Privacy, and Legality/Ethical Alignment) provides a robust and adaptable foundation for establishing ethical guidelines for GenAI.
- **Proactive Ethical Integration is Crucial:** Waiting to address ethical concerns *after* AI systems are deployed is insufficient. Proactive integration of ethical principles throughout the entire AI lifecycle, from development and acquisition to implementation and monitoring, is essential for building trust and ensuring responsible use.



# References



---

BotPenguin. (2025). *Turing Test: Applications and Limitations*. In BotPenguin Glossary.  
<https://botpenguin.com/glossary/turing-test>

Oniani, D., Hilsman, J., Peng, Y., Poropatich, R. K., Pamplin, J. C., Legault, G. L., & Wang, Y. (2023). Adopting and expanding ethical principles for generative artificial intelligence from military to healthcare. *NPJ Digital Medicine*, 6(1), 225. 10.1038/s41746-023-00965-x



# Acknowledgements

---



- David Oniani
- Jordan Hilsman
- Yifan Peng
- Ronald Poropatich
- COL Jeremy Pamplin
- Yanshan Wang



# How to Obtain CE/CME Credit

## 2025 SEP Clinical Communities Speaker Series: Healthcare Innovation and Readiness: Empowering Change and Resilience in Global Care Delivery

Credits are awarded by session. To claim CE/CME credit for the session(s) you attended, complete each evaluation survey and posttest before the evaluation period ends on **09 October 2025 at 11:59 PM Eastern Time**.

1. Visit the registration page at <https://www.dhaj7-cepo.com/content/2025-sep-ccss> . From there, register for the event or log in to your account if already registered.
2. Once logged in and registered, on the event page, select “Get Started” (located in the menu below the event title on desktop and at the bottom of the page on mobile devices). Note: This tab will not appear unless you are registered and logged in to your account.
3. Under the “Get Started” tab, scroll down to a session you attended and select “Claim credit.”
4. Proceed to take the evaluation and posttest to obtain your certificate after the session has ended.

Once you have been awarded credit, you can download your certificate anytime through [your account](#). Any activity you register for but have yet to complete will be available under your [pending activities](#) until the evaluation period ends.

**Questions?** Email the DHA J-7 Continuing Education Program Office at [dha.ncr.j7.mbx.cepo-cms-support@health.mil](mailto:dha.ncr.j7.mbx.cepo-cms-support@health.mil).



# Questions?